

CRITICAL ACCESS HOSPITALS

INFECTION PREVENTION/CONTROL and ANTIBIOTIC STEWARDSHIP PROGRAMS

Self-Assessment Questions	YES	NO	N/A	Date/Initials	Comments
<p>Is the CAH infection prevention and control program and antibiotic stewardship program:</p> <p>a. active, facility -wide, program-specific and include off-site settings?</p> <p>b. do the program components include surveillance, prevention and control of HAI and other infectious diseases?</p> <p>c. does the program focus on the optimization of antibiotic use through stewardship?</p> <p>d. based on individual CAH assessment and conducted in accordance with nationally recognized infection prevention and control standards of practice or guidelines and focus on reducing development and transmission of HAI?</p> <p>e. based upon best practices for improving antibiotic usage and for reducing the development and transmission of antibiotic-resistant organisms?</p> <p>f. integrated into the CAH-wide QAPI program? Is there evidence the CAH is working collaboratively between the infection prevention program and QAPI when issues are identified?</p> <p>g. is there evident antibiotic use issues are addressed in coordination with QAPI program?</p> <p>COP §485.640</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
<p>Does the hospital have:</p> <p>a. an individual appointed by the governing body as the infection preventionist/infection control professional who has the responsibility for the infection prevention and control program?</p> <p>b. was the appointment based upon recommendations of medical staff leadership and nursing leadership?</p> <p>c. is the appointed individual qualified through education, training, experience or certification in infection prevention and control?</p> <p>C1204 COP §485.640(a)(1)</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

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<p>Does the infection prevention and control program:</p> <p>a. have documented policies and procedures?</p> <p>b. employ methods for prevention and control of infection transmission both within the CAH and between the CAH and other healthcare settings?</p> <p>C1206 COP §485.640(a)(2)</p>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
<p>Does the CAH infection prevention and control program:</p> <p>a. include surveillance, prevention and control of HAI?</p> <p>b. address any of infection control issues identified by public health authorities?</p> <p>c. include a system for preventing, identifying, reporting, investigating, and controlling infections and communicable disease for all patients, staff, and visitors?</p> <p>d. ensure a clean and sanitary environment by monitoring housekeeping, maintenance repairs, renovation and construction activities to avoid sources and transmission of infection?</p> <p>C1208 COP §485.640(a)(3)</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
<p>Does the infection prevention and control program reflect the scope and complexity of the services provide by the CAH, including all departments, service lines, inpatient/outpatient services, locations?</p> <p>C1210 COP §485.640(a)(4)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Has the CAH governing body ensured the following systems are in place and operational:</p> <p>a. for the tracking of all infection surveillance, prevention and control?</p> <p>b. for antibiotic use activities?</p> <p>c. is there documentation in place to demonstrate the implementation, success and sustainability of above activities?</p> <p>C1225 COP §485.640(c)(1)(i)</p>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		

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<p>Has the CAH governing body ensured that:</p> <p>a. all HAI and other identified infectious diseases are addressed in collaboration with QAPI program leadership?</p> <p>b. all antibiotic use issues identified by the antibiotic stewardship program are addressed in collaboration with QAPI program leadership?</p> <p>C1229 COP §485.640(c)(1)(ii)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Is the infection prevention and control professional responsible for: Development and implementation of facility wide infection surveillance, prevention and control policies and procedures that adhere to nationally recognized guidelines?</p> <p>C1231 COP §485.640(c)(2)(i)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Is the infection prevention and control professional responsible for:</p> <p>a. all documentation, written or electronic, of the infection prevention and control program</p> <p>b. all infection prevention and control program surveillance, prevention and control activities?</p> <p>c. communication and collaboration with the QAPI program on any infection prevention and control issues?</p> <p>d. prevention and control of HAI including auditing for compliance with applicable policies and procedures by staff.</p> <p>C1235, C1237, 1240 - COP §485.640(c)(2)(ii)(iii)(v)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Infection preventionist is responsible for competency-based training and education of the following CAH personnel and staff on the practical applications of infection prevention and control guidelines, policies and procedures:</p> <p>a. medical staff</p> <p>b. contracted staff</p> <p>c. all employees</p> <p>C1239- COP §485.640(c)(2)(iv)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>The infection preventionist is responsible for communication and collaboration with the antibiotic stewardship program</p> <p>C1242- COP §485.640(c)(2)(vi)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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<p>ANTIBIOTIC STEWARDSHIP PROGRAM</p> <p>The antibiotic stewardship program leaders is responsible for:</p> <ul style="list-style-type: none"> a. development and implementation of CAH-wide antibiotic stewardship program which is to monitor and improve antibiotic usage. b. the antibiotic stewardship program is based upon nationally recognized standards c. all program documentation, whether written (paper) or electronic d. communication and collaboration with medical staff, nursing and pharmacy leadership e. communication with infection preventionist and QAPI program staff on antibiotic use issues <p>C1244-1248- COP §485.640(c)(3)(i)(ii)(iii)</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 		
<p>Antibiotic stewardship leader (s) is responsible for competency-based training and education of the following CAH personnel and staff on the practical applications of antibiotic stewardship guidelines, policies and procedures:</p> <ul style="list-style-type: none"> a. medical staff b. contracted staff c. all employees <p>C1250 COP §485.640(c)(3)(iv)</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 		
<p>The CAH may choose a unified and integrated infection prevention and control and antibiotic stewardship program for separately certified multi-hospital, CAH systems. Does the system governing body ensure each separately certified CAH (s):</p> <ul style="list-style-type: none"> a. has an infection prevention and control and antibiotics stewardship program for the entire CAH? b. programs consider the unique properties of the population and services the CAH serves. c. programs consider the needs and concerns of CAH separately? d. identify QAPI issues specifically impacting their CAH and integrates those issues into the overall QAPI program? <p>COP §485.640(g)(1)-(4)</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 		

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<p>If the CAH is part of an unified and integrated system:</p> <p>a. is there a designated individual with expertise in infection prevention and control and antibiotic stewardship who is responsible for communicating with implementing, and maintaining the policies and procedures of the unified program?</p> <p>b. is there documentation that the designated individual communicates with the unified leadership related to issues?</p> <p>c. is there documentation of staff training on infection prevention and control and antibiotic stewardship?</p> <p>COP §485.640(g)(1)-(4)</p>	<input type="checkbox"/> 	<input type="checkbox"/> 	<input type="checkbox"/> 		

Helpful Hints

- [CMS Hospital Infection Control Worksheet Guide to Infection Prevention in Outpatient Settings \(CDC\)HHS Action Plan to Prevent Healthcare-Associated Infections](#)

Key Resources and Links

- [29 CFR 1910.1030](#)
- [42 CFR 485.640](#)
- [CDC Core Elements of Antibiotic Stewardship](#)
- [Missouri Healthcare-Associated Infection Reporting Data](#)
- [Updated Acute Hospital Guidelines Note: These guidelines were written for acute hospitals; however CAH regulations nearly identical](#)