

# CRITICAL ACCESS HOSPITALS

## AGREEMENTS

\*Telemedicine covered in separate checklist

Self-Assessment Questions	YES	NO	N/A	Date/Initials	Comments
Does the CAH have in effect an agreement with at least one hospital that furnishes acute care services and is a member of the Rural Health Network? C-0862 §485.616(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the Rural Health Network agreement include a. a process for patient referral and transfer? b. development and use of network communication systems that include a system for electronic sharing of patient data, telemetry and medical records? c. provisions for the emergency and non-emergency transportation of patients with its network hospital? C-0864 §485.616(a)(1) C-0866 §485.616(a)(2) C-0868 §485.616(a)(3)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
Does the CAH keep a log of its communication with its network hospital(s)? C-0862 §485.616(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the CAH have an agreement for credentialing and quality assurance with its network hospital, QIO or another appropriate and qualified entity identified in the state rural health care plan? C-0870 §485.616(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do agreements for quality assurance include medical record review to determine the quality and medical necessity of medical care provided? C-0870 §485.616(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the CAH maintain a list of all services furnished under arrangements or agreements and does this list describe the nature and scope of the services provided? C-1042 §485.635(a)(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are the CAH's patient services being furnished in accordance with written policies that are consistent with state law and developed with advice of the professional health care personnel including one or more physicians and CAH staff? C-1006 §485.635(a)(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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Do these patient care policies clearly describe the services that the CAH furnishes directly and those furnished through agreement or arrangement? C-1010 §485.635(a)(3)(i)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are these patient care policies reviewed at least every two years by the professional health care staff? C-1008 §485.635(a)(2 & 4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the governing body held legally responsible for determining, implementing and monitoring policies governing the CAH'S total operation and for ensuring that those policies are administered so as to provide quality health care in a safe environment? C-0962 §485.627(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the governing body:					
a. have legal authority and responsibility for overall planning, directing, control and management of the hospital?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b. hold regular meetings at stated intervals and as needed, with signed and permanent records of minutes on file and available to the members?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
c. maintain confidential minutes of all committee meetings?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d. select a single person as CEO for the entire hospital who is qualified by education and experience in the field of hospital or health care administration?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e. ensure and approve medical staff bylaws, rules and structure that comply with CAH COPs and state laws and regulations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f. determine which categories of practioners are eligible for appointment to the medical staff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g. ensure that the selection of medical staff members only are based upon character, competence, training, experience and judgement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h. ensure that under no circumstances is the accordance of staff membership or professional privileges in the hospital dependent solely upon certification,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		



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<p>Does the compliance program assure that:</p> <p>a. employees making a report may remain anonymous?</p> <p>b. no supervisor or individual with authority to hire or fire shall prohibit employees from making a report?</p> <p>c. no supervisor or individual with authority to hire or fire shall discriminate against, dismiss, penalize or in any way retaliate against or harass an employee who makes a report?</p> <p>197.285 RSMo</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Do the bylaws of the governing body:</p> <p>a. provide for the appointment, responsibilities, authority and delegation of authority of the CEO and medical staff members of the governing body?</p> <p>b. provide for the election of officers and the appointment of standing and special committees as needed?</p> <p>c. establish a direct and effective means of liaison among the governing body, the administration and the medical staff?</p> <p>d. describe and convey authority to the CEO for the administration of the hospital and all its activities?</p> <p>e. require that the medical staff, hospital personnel and all auxiliary organizations, directly or indirectly, be responsible to the governing body through the CEO?</p> <p>f. designate a qualified individual to act in the absence of the CEO?</p> <p>g. provide for the selection and appointment of medical staff members based upon defined criteria and in accordance with an established procedure for processing and evaluating applications?</p> <p>h. require that the medical staff develop and adopt medical staff bylaws and rules?</p> <p>C-0962 §485.627(a)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Is the DHSS notified of any change in the CEO, operating officials or medical director?</p> <p>C-0964 §485.627(b)(1)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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a. maintain policies that ensure suspected incidences of child abuse are reported to the Division of Family Services as established under section 210.120, RSMo?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do the medical staff bylaws, rules and policies:					
a. outline the organization of the medical staff and committee functions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b. outline methods of selecting and designating officers; their duties and qualifications?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
c. include a procedure to process medical staff membership applications and the criteria for granting initial or continuing medical staff appointments and clinical privileges?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d. comply with accepted medical practices?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e. get revised on an ongoing basis and are they enforced?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f. provide for a formal procedure including:					
- inquiry of the National Practitioner Data Bank when processing applications?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
- approving and disapproving recommendations to the governing body for appointments and reappointments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
- determining granting, curtailment, suspension or revocation of privileges and appointments and reappointments to the medical staff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g. provide for an appeal and hearing process for the denial, curtailment, suspension, revocation or other modification of clinical privileges of the medical staff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h. provide that notification of denial of appointment, reappointment, curtailment, suspension, revocation or modification of privileges is in writing and indicates the reason(s) for this action?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
i. provide for an active staff and other categories as designated in the governing body bylaws?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
j. describe the voting rights, attendance requirements, designation and selection of officers along with eligibility for holding offices or committee appointments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
k. designate that the head of the medical staff be a physician?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		



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Are the criteria for selection of both new and continued medical staff membership based on individual character, competence, training, experience and judgment? C-0962 §485.627(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is a MD/DO responsible for the medical direction of the CAH healthcare activities and medical supervision of healthcare staff? C-0981 485.631(b)(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do physicians review and sign all records of patients cared for by nurse practitioners, clinical nurse specialists and physician assistants? C-0986 §485.631(b)(1)(iv)&(v)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the CAH ensure that an MD/DO is available by telephone, radio or electronic communication for consultation assistance, assistance with medical emergencies and patient referral? C-0988 §485.631(b)(2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the CAH report to the state any owners, or those with controlling interest in the CAH or any of its subcontractors which directly or indirectly has a 5% or more ownership interest in the CAH? C-0964 §485.627(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

### Key Resources and Links

- [COP §485.616\(a\)](#)
- [COP §485.616\(c\)](#)
- [COP §485.618](#)
- [COP §485.627\(a\)\(b\)](#)
- [COP §485.631\(b\)](#)
- [COP §485.635\(a\)\(c\)](#)
- [COP §485.638\(a\)\(4\)\(iv\)](#)
- [210.120, RSMo](#)
- [383.133, RSMo](#)
- [383.130 \(1\), RSMo](#)
- [197.285 RSMo](#)
- [45 CFR Part 60](#)