

SURGICAL SERVICES

Self-Assessment Questions	YES	NO	N/A	Date/Initials	Comments
Are outpatient surgical services required to meet the same quality standards as the inpatient surgical services provided? A-0940 COP §482.51	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the scope of the surgical services provided by the hospital defined in writing and approved by the medical staff? A-0941 COP §482.51(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are surgical privileges reviewed and updated at least every two years? A-0945 COP §482.51(a)(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the review of surgical privileges include a written assessment of the practitioner's training, experience, health status and performance? A-0945 COP §482.51(a)(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is there a supervising M.D./D.O. surgeon present in the same room, working with the same patient when non-physician practitioners are assisting during surgery? A-0945 COP §482.51(a)(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does your O.R. organization chart indicate lines of authority and delegation of responsibility within the department? A-0941 COP §482.51(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the surgical suite directed by a R.N. qualified by relevant education and experience? A-0942 COP 482.51(a)(1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does only a qualified R.N. with relevant education, experience and competency perform circulating duties? A-0944 COP §482.51(a)(3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is access to the O.R. limited to authorized personnel only? A-0940 COP §482.51	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do O.R. personnel wear only clean surgical costumes designed for maximum hair and skin coverage? A-0940 COP §482.51	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do you routinely observe all staff following aseptic techniques and hand washing? COP §482.51	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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s. sterilization and disinfecting procedures? t. acceptable operation room attire? u. outpatient surgery post-operative care planning and coordination? A-0951 COP §482.51(b) Note: *See recommendations of Malignant Hyperthermia Association for Dantrolene stocking recommendations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are there protocols for all surgical procedures? A-0951 COP §482.51(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do they include a list of equipment, materials and supplies necessary to properly carry out job assignments? A-0951 COP §482.51(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
In non-emergency surgical cases and with those specific patients that are not required to have a comprehensive medical history and physical examination, or any update to it, prior to specific outpatient surgical or procedural services as determined by medical staff policy, is a complete history and physical, and update, if applicable in the medical record prior to the surgery or procedure requiring anesthesia services? A-0952 COP §482.51(b)(1)(i)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the hospital ensure an updated examination of the patient, including any changes in the patient's condition, is completed and documented within 24 hours after admission or registration when the medical history and physical examination are completed within 30 days before admission or registration, except with those specific patients that are not required to have a comprehensive medical history and physical examination, or any update to it, prior to specific outpatient surgical or procedural services as determined by medical staff policy A-0953 §482.51(b)(1)(ii)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the hospital ensure an assessment of the patient is completed and documented after registration when the patient is receiving specific outpatient surgical or procedural services and when the medical staff has chosen to develop and maintain a policy that identifies, in accordance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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Is post-operative area or recovery a separate area of the hospital with access limited to authorized personnel? A-0957 COP §482.51(b)(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
If surgical patients are not transferred to the recovery room, are provisions made for direct observation by a qualified R.N. in the patient's room till consciousness is regained? A-0957 COP §482.51(b)(4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the O.R. maintain an up-to-date surgery register? A-0958 COP §482.51(b)(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the surgical registry include for each surgery performed: a. patient's name? b. patient's hospital identification number? c. date of the operation? d. total time of the operation? e. the time the surgery began and ended? f. name of the surgeon and any assistants? g. name of the scrub and circulating nursing personnel? h. type of anesthesia used and name of person administering it? i. operation performed? j. pre and post-op diagnosis? k. age of patient? A-0958 COP §482.51(b)(5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
For patients who had surgery: a. does the medical record include a surgical report written or dictated immediately following surgery and signed by the surgeon? b. does the surgical report include: - patient's name and hospital identification number? - date and time of surgery? - the name of surgeon and assistants? - pre-op and post-op diagnoses? - a list of surgical procedure(s) performed? - type of anesthesia? - a verification of countable materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

