

INFECTION PREVENTION/CONTROL and ANTIBIOTIC STEWARDSHIP PROGRAMS

Self-Assessment Questions	YES	NO	N/A	Date/Initials	Comments
<p>Is your infection prevention and control program:</p> <p>a. hospital-wide, program-specific and include off-site settings?</p> <p>b. reviewed and evaluated for quality and scope of the program on a regular basis?</p> <p>c. integrated into the hospital-wide QAPI program?</p> <p>d. in compliance with the Occupational Health and Safety Administration's bloodborne pathogens regulation 29 CFR 1910.1030?</p> <p>e. conducted in accordance with nationally recognized IC practices or guidelines?</p> <p>A-0747 COP §482.42 19 CSR 30-20.116(6)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Does your infection prevention and control program:</p> <p>a. include active surveillance (reliable sampling or other mechanism in place to identify and monitor infection and communicable disease) of patients and personnel using recognized surveillance procedures?</p> <p>b. include early identification of multi-drug resistant organisms?</p> <p>c. address identifying, preventing, transmission logistics of providing treatment or prophylaxis to large numbers of people during community-wide outbreaks of communicable diseases?</p> <p>d. ensure a sanitary environment by monitoring housekeeping, maintenance repairs, renovation and construction activities?</p> <p>e. ensure a sanitary environment by monitoring food storage, preparation, serving and dish rooms, refrigerators, ice machines, air handlers, autoclave rooms, venting systems, inpatient rooms, treatment areas, labs, waste handling, surgical areas, supply storage, equipment cleaning, etc.?</p> <p>A-0747 COP §482.42</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>Is the employee health program included in an infection investigation?</p> <p>A-0747 COP §482.42</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<p>If applicable, do your infection control policies, include:</p> <p>a. all hospital settings and locations including ambulatory care?</p> <p>b. definitions of nosocomial infections and communicable diseases?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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c. preventing, identifying, reporting, investigating and controlling infection and communicable diseases in patients and health?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d. healthcare workers (HCWs), including hospital personnel, contract staff and volunteers at all hospital locations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e. procedures for collecting data, conducting root cause analysis, reporting sentinel events, and implementing corrective actions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f. promotion of hand hygiene measures that comply with current national standards endorsed by Centers for Disease Control (CDC) or World Health Organization (WHO) and include the utilization of alcohol-based hand sanitizers?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g. a requirement that health care worker to properly wash or sanitize their hands immediately before and after each and every episode of care?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h. surveillance of employees and medical staff personnel with a portion of the surveillance for hand washing techniques/use of hand hygiene products done without their knowledge?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
i. methods for obtaining timely reports of infections and communicable diseases on inpatients and HCWs for all patient care and support departments in the hospital?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
j. implementing appropriate prophylaxis to prevent surgical site infection (SSI), such as a protocol to assure that antibiotic prophylaxis to prevent surgical site infection for appropriate procedures is administered at the appropriate time, done with an appropriate antibiotic, and discontinued appropriately after surgery?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
k. aseptic technique practices used in surgery and invasive procedures performed outside the operating room, including sterilization of instruments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
l. measures specific to the prevention of infections caused by organisms that are antibiotic-resistant, otherwise known as MDRO's (multi-drug resistant organisms)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
m. measures specific to the prevention of device-associated bloodstream infection (BSI), such as a protocol for reducing infections of central venous catheters specifying aseptic	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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precautions for line insertions, care of inserted lines and prompt removal when a line is no longer needed?					
n. measures specific to prevention of other device-associated infections, e.g., those associated with ventilators, tube feeding, indwelling urinary catheters, etc.?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
o. measures for the early identification of patients who require isolation and the use of "isolation" precautions as recommended by the CDC?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
p. measures to evaluate staff and volunteers exposed to patients with infections and communicable disease?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
q. measures and authority for evaluating hospital staff immunization status for designated infectious diseases, as recommended by the CDC and its Advisory Committee on Immunization Practices (ACIP)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
r. isolation procedures and requirements for highly immuno-suppressed patients who require a protective environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
s. care techniques for tracheostomy care, respiratory therapy, burns and other situations that reduce a patient's resistance to infection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
t. a requirement that disinfectants, antiseptics and germicides to be used in accordance with the manufacturers' instructions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
u. appropriate use of medical equipment, including negative and positive pressure isolation room equipment, portable air filtration equipment, treatment booths and enclosed beds, UV lights and other equipment used to control the spread of infectious agents?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
v. appropriate use of personal protective equipment including gowns, gloves, masks and eye protection devices?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
w. adherence to nationally recognized infection prevention and control precautions, such as current CDC guidelines and recommendations, for infections/communicable diseases identified as present in the hospital?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
x. educating patients, visitors, caregivers and staff, as appropriate, about infections and communicable diseases and methods to reduce transmission in the hospital and in the community?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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y. methods for monitoring and evaluating practices of asepsis?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
z. techniques for cleaning and disinfecting environmental surfaces, carpeting and furniture?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
aa. techniques for linens and textiles reprocessing, storage and distribution?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
bb. techniques for disposal of regulated and non-regulated waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
cc. techniques for pest control?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
dd. techniques for food sanitation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
ee. ventilation and water quality control issues, including measures taken to maintain a safe environment during internal or external construction or renovation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
ff. maintaining safe air handling systems in operating rooms, intensive care units and isolation rooms?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
gg. authority and indications for obtaining microbiological cultures from patients and the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
hh. orientation and ongoing training for safe injection practices?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
ii. new employee orientation and regular update training on preventing and controlling healthcare-associated infections and methods to prevent exposure to and transmission of infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
jj. articulating the authority and circumstances under which the hospital screens hospital staff for infections likely to cause significant infectious disease or other risk to the exposed individual, and for reportable diseases, as required under local, state, or federal public health authority?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
kk. articulating when infected hospital staff are restricted from providing direct patient care and/or are required to remain away from the healthcare facility entirely?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
ll. the reporting requirements of the local/state/federal health authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
mm. provisions for program evaluation and revision of the program, when indicated?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
A-0749 COP §482.42(a) 19 CSR 30-20.116(3)					
Is there an infection control officer(s) designated in writing who is a physician, registered nurse or an individual with similar qualifications and additional training, education, experience or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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certification in infection control, infectious diseases, epidemiology and principles of quality improvement? A-0748 COP §482.42(a)					
Does the infection control officer(s) maintain qualifications through ongoing education, training, experience and/or certification? A-0748 COP §482.42(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the infection control officer(s) responsible for: a. the infection control program? b. developing, implementing and monitoring compliance with policies, procedures and IC program requirements? c. developing a system for identifying, investigating, reporting and preventing the spread of infections and communicable diseases among patients and hospital personnel including contract staff and volunteers especially those occurring in clusters? d. cooperating with hospital-wide orientation and in-service education programs? e. cooperating with other departments and services in the performance of quality assurance activities? f. complying with reportable disease requirements and cooperating with disease control activities of the local health authority? g. coordination with federal, state and local emergency preparedness and health authorities to address bioterrorism and communicable disease threats and outbreaks? h. maintenance of a sanitary hospital environment? i. mitigation of risks associated with infections present upon admission or risk contributing to health care associated infections? A-0749 COP §482.42(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the hospital identify and track infections and communicable diseases throughout the hospital, inpatient care staff and non-patient care staff, including employees, contract staff and volunteers for the following categories: Note: Hospitals are not required to organize their surveillance according to these categories.					
a. healthcare-associated infections selected by the hospital's IP&C program as part of a	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

