

CRITICAL ACCESS HOSPITALS

INFECTION CONTROL					
Self-Assessment Questions	YES	NO	N/A	Date/Initials	Comments
<p>Note: Other regulations applicable to hospital infection control include:</p> <ul style="list-style-type: none"> • 19 CSR 10-33.050 Reporting of Healthcare-Associated Infection Rates by Hospitals and Ambulatory Surgical Centers • 19 CSR 20-20.010 Definitions Relating to Communicable, Environmental and Occupational Diseases • 19 CSR 20-20.020 Reporting Communicable, Environmental and Occupational Diseases. • 19 CSR 20-20.090 Contact with Communicable Diseases by First Responders or Emergency Medical Person and Mortuary Personnel • 19 CSR 20-20.091 Testing for Contagious or Infectious Disease • 19 CSR 20.26.030 through 19 CSR 20-26.070 HIV Treatment, consultation, reporting, prevention, evaluation and notification • RSMo 191.694 Infection Control Procedures – Requirements and Training for Health Care Facilities and Professionals 					
Is your infection control program:					
a. hospital-wide and program-specific?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b. reviewed and evaluated annually or when indicated for quality control?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the employee health program included in an infection investigation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does your infection control program have policies that address:					
a. definitions of nosocomial infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b. measures for assessing and identifying patients and health care workers (HCWs), including hospital personnel, contract staff and volunteers, at risk for infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
c. procedures for conducting root cause analysis, reporting sentinel events, and implementing corrective actions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d. implementation of a nationally recognized system of infection control guidelines to avoid sources and transmission of infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e. measures for identifying, investigating, reporting and controlling nosocomial infections and communicable diseases of patients and hospital personnel?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f. hand hygiene measures that comply with the current national standards endorsed by CDC on WHO?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g. at a minimum that the program requires every health care worker to properly wash or sanitize their hands immediately before and after having direct contact with the patient?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h. methods for obtaining timely reports of infections and communicable diseases on	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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i. inpatients and HCWs for all patient care and support departments in the hospital? measures for prevention of infections, especially those associated with intravascular therapy, indwelling urinary catheters, tracheostomy care, respiratory therapy, burns, immunosuppressed patients and other factors which compromise a patient's resistance to infection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
j. measures for prevention of communicable disease outbreaks especially tuberculosis?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
k. the provision of a safe environment consistent with nationally recognized infection control precautions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
l. isolation procedures and requirements for infected or immunosuppressed patients?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
m. standard precautions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
n. education of patients, the patient's family and caregivers about infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
o. methods for monitoring and evaluating practices of asepsis?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
p. techniques for hand washing, respiratory protections, asepsis, sterilization, disinfection, food sanitation, housekeeping, fabric care, liquid and solid waste disposal, needle disposal, separation of clean from dirty, as well as other means for limiting the spread of contagion?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
q. surveillance of employees and medical staff personnel with a portion of the surveillance for hand washing techniques/use of hand hygiene products done without their knowledge?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
r. indications for obtaining microbiological cultures from patients?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
s. a requirement that disinfectants, antiseptics and germicides be used in accordance with the manufacturers' instructions to avoid harming patients, particularly central nervous system effects on children?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
t. orientation of all new hospital personnel to infections, communicable diseases and to the infection control program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
u. measures for the screening and evaluation of HCWs for communicable diseases, and for the evaluation of HCWs exposed to patients with non-treated communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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v. when infected or ill employees must not render direct patient care?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
w. a procedure for meeting the reporting requirements of the local/state/federal health authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
x. provisions for program evaluation and revision of the program, when indicated?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is there an infection control officer who is a physician, registered nurse, or an individual that has a bachelor's degree in laboratory science or similar qualifications and additional training or education in infection control, infectious diseases, epidemiology and principles of quality improvement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Is the infection control officer(s) responsible for:					
a. the infection control program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b. developing and implementing policies governing asepsis and infection control?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
c. developing a system for identifying, investigating, reporting and preventing the spread of infections and communicable diseases among patients and hospital personnel including contract staff and volunteers?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d. preventing and controlling the spread, identifying, investigating and reporting infections and outbreaks of communicable diseases among patients and patient care staff, especially those occurring in clusters?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e. cooperating with hospital-wide orientation and in-service education programs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f. cooperating with other departments and services in the performance of quality assurance activities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g. cooperating with disease control activities of the local health authority?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h. maintaining a log of patient, staff and volunteer incidents related to infections and communicable diseases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
i. cooperating with federal, state and local emergency preparedness and public health officials to develop and implement emergency preparedness programs regarding bioterrorism and communicable disease threats?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Does the infection control officer have the	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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authority to order the cessation of a practice that falls outside accepted practices as defined by appropriate state and federal regulatory agencies, accreditation organizations, or the standards adopted by the Centers for Disease Control and Prevention or the Association of Professionals in Infection Control and Epidemiology? (197.152, RSMo)					
Does the infection control committee meet as soon as possible to review the cessation order to overrule or sustain the order? (197.152, RSMo)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are the chief executive officer, the medical staff, and the director of nursing responsible for: a. ensuring that the hospital-wide quality assurance program and training programs address problems identified by the infection control officer or officers? b. implementation of successful corrective action plans in affected problem areas, ensuring that the plans are evaluated for effectiveness and revised if needed, and maintaining documentation concerning corrective actions and outcomes?	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
Does your hospital have an active multidisciplinary infection prevention and control committee that: a. includes the infection control officer, a member of the medical staff, registered professional nursing staff, quality improvement staff and administration? b. is responsible for developing, implementing and monitoring the infection prevention and control program? c. approves a mechanism for reporting and monitoring patient and employee infections for all hospital patient care and support departments? d. establishes priorities and goals related to preventing the acquisition and transmission of potentially infectious agents based on risks identified? e. is responsible for delegating responsibility for infection functions? f. is responsible for conducting an ongoing review and analysis of hospital-acquired infection data and risk factors? g. meets quarterly and maintains minutes?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

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Is there a mechanism for monitoring compliance with infection control policies and procedures that is coordinated with administrative staff, personnel and the quality improvement program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are the identified infection control problems addressed in employee education programs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are problems identified reported to the medical staff, nursing and administration and addressed in the quality assurance and in-service training programs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Helpful Hints

- [Surveyor Checklist for Infection Prevention and Control](#) (Surveyor Checklist for Infection Prevention and Control begins on page 24)
- [Infection Prevention Checklist for Outpatient Settings](#)

Key Resources and Links

- [19 CSR 30-20.116](#)
- [COP §485.635\(a\)\(3\)\(vi\)](#)
- [197.152, RSMo](#)